

# Stabilization from the boundary in a third order in time nonlinear dynamics with applications to nonlinear acoustics.

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**Workshop on Analysis, Control and Inverse Problems for Diffusive Systems**  
**University of Bari, Italy**  
**July 18-22, 2022**

Thanks: National Science Foundation, NSF

## THANKS

- Marcelo Bongarti- WIAS, Berlin.
- Francesca Bucci-Univ of Firenze, Italy
- Suthirut Charaphoeon, De Paul University,
- Matthias Eller -Georgetown Univ.
- Filippo Del'Oro -Univ of Milano,Italy
- Barbara Kaltenbacher-Univ of Graz, Austria
- Luciano Pandolfi-Univ of Torino, Italy
- Vittorino Pata -Univ of Milano, Italy
- Jose Rodriguez-Univ. of Memphis.
- Roberto Triggiani-Univ of Memphis and Univ of Virginia.

## THANKS:

- National Science Foundation -DMS,



# Overview

- Motivation: Lithotripsy, High Frequency Focused Ultrasound.
- PDE models: Nonlinear Acoustics: Westervelt- Kuznetsov and Moore-Gibson-Thompson equations.

- Westervelt/ Kuznetsov-2-nd order in time  
(infinite speed of propagation) -parabolic type
- Moore-Gibson-Thompson equation -3-rd order in time  
(finite speed of propagation)-hyperbolic type

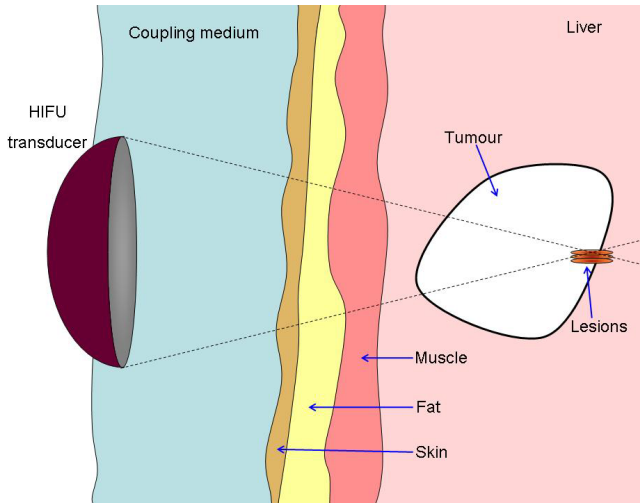
- Global well-posedness of these **quasilinear** PDE models
- Analysis when **the relaxation time  $\tau$  goes to zero**. From propagation to diffusion.
- **Hidden regularity** from the boundary.
- Stabilization: **frictional, memory and boundary damping**.
- **Boundary control** [weak solutions] and feedback control.

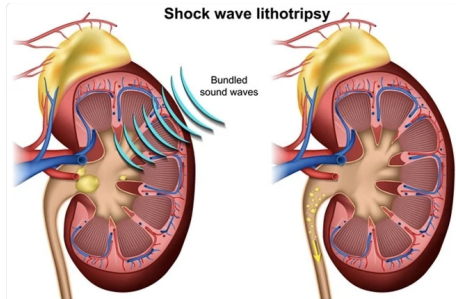
# Challenges

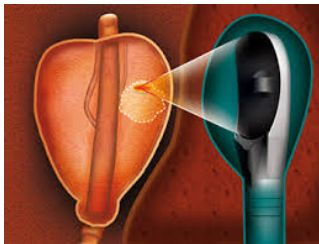
- **Nonlinear** wave propagation.
- **Singular perturbation** : Linear generator  $\mathcal{A}_\tau$  becomes singular - from hyperbolic to parabolic.
- Weak solutions with **rough boundary data**.
- **Boundary Feedback Control Problems**. Nonstandard **Riccati Equations with Unbounded** coefficients.

# THE PLAN.

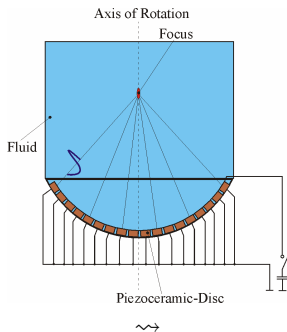
- 1 **PART I** - review of MGT dynamics- stability in critical case.
- 2 **PART II** - Optimal Boundary Control and Boundary Stabilizability.
- 3 **PART III** - Feedback boundary control and Nonstandard ARE.











optimal control of the excitation signal

$$\begin{aligned}\rho_t + \nabla \cdot (\rho \mathbf{v}) &= 0 \\ \rho(\mathbf{v}_t + (\mathbf{v} \cdot \nabla) \mathbf{v}) &= \nabla \cdot \mathbf{T} \\ \rho\theta(\eta_t + (\mathbf{v} \cdot \nabla)\eta) &= -\nabla \cdot \mathbf{q} + \mathbf{T} : \mathbf{D}\end{aligned}\tag{1}$$

$\eta$  -entropy,  $\mathbf{q}$ -heat flux,  $\mathbf{D}$  -deformation tensor,  $\mathbf{T}$ -Cauchy Poisson stress tensor.  $p_{\sim}$  ... pressure fluctuation

$\mathbf{v}$ ... acoustic particle velocity

$\psi$  ...acoustic velocity potential

$\rho$  ... mass density

$c$  ... speed of sound

$b$  ... diffusivity of sound

$B/A$  ... parameter of nonlinearity

$\mathbf{v} = -\nabla\psi$ ,  $\rho D_t \mathbf{v} = -\nabla p_{\sim}$

Lesser&Seebass 1968, Kuznetsov 1971

## Fourrier's Law

$$\mathbf{q} = -\mathbf{K}\nabla\theta$$

## Cattaneo Law

$$\tau\mathbf{q}_t + \mathbf{q} = -\mathbf{K}\nabla\theta$$

$\tau > 0$  small relaxation time parameter

## Equations of Nonlinear Acoustics

### Westervelt -Kuznetsov equation

$$D_t^2 p_{\sim} - c^2 \Delta p_{\sim} - b D_t \Delta p_{\sim} = \frac{1}{\rho c^2} D_t^2 \left( \left(1 + \frac{B}{2A}\right) p_{\sim}^2 + |\rho c \mathbf{v}|^2 \right)$$

### MGT-Moore -Gibson - Thompson equation

$$\tau D_t^3 p + D_t^2 p_{\sim} - c^2 \Delta p_{\sim} - b D_t \Delta p_{\sim} = \frac{1}{\rho c^2} D_t^2 \left( \left(1 + \frac{B}{2A}\right) p_{\sim}^2 + |\rho c \mathbf{v}|^2 \right)$$

**Modeling: Jordan Pedro, Ivan Christov, Christo Christov, Brian Straughan.**

# Westervelt Equation - Fourier's Law , "infinite" speed of propagation

Westervelt equation with Dirichlet boundary conditions:  $u(t, x)$   
=acoustic pressure

$$\alpha D_t^2 u - c^2 \Delta u - b D_t \Delta u = k D_t^2 (u^2) \text{ in } (0, T) \times \Omega$$

Rewrite as a **degenerate-quasilinear**

$$(\alpha - 2ku) D_t^2 u - c^2 \Delta u - b D_t \Delta u = 2k (D_t u)^2$$

$$\alpha(t, x) D_t^2 u - c^2 \Delta u - b D_t \Delta u = f(D_t u)$$

- Degenerate :  $\alpha(t, x) = (\alpha - 2ku(t, x))$  can vanish
- Nonlinear term  $f(D_t u) = 2k[(D_t u)^2 + u D_t^2 u]$
- $k = \frac{1}{c^2} (1 + \frac{B}{2A})$

## M-T-G eq.- Cattaneo Law, "finite" speed of propagation

Let  $\Omega$  be a bounded domain of  $\mathbb{R}^n$  with a regular boundary  $\Gamma$

$$\tau u_{ttt} + [\alpha - 2ku]u_{tt} - c^2 \Delta u - b \Delta u_t = 2k(D_t u)^2 \quad (2)$$

$$u = 0 \text{ on } \Gamma = \partial\Omega \quad (3)$$

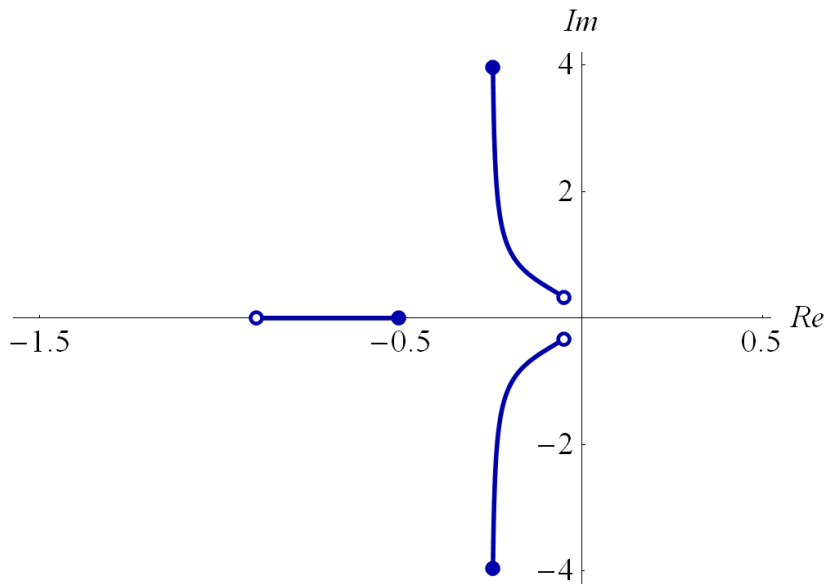
where in a physical context of the acoustic waves

- the variable  $u$  denotes a scalar acoustic velocity potential  $\vec{v} = -\nabla u$  with  $\vec{v}$  denoting the acoustic particle velocity.
- $c^2$  denotes the speed of sound ,
- $\tau$  denotes thermal relaxation resulting from **replacing Fourier's law by the Maxwell Cattaneo law**.
- The coefficient  $b \equiv \delta + \tau c^2$  where  $\delta$  is the diffusivity of the sound.
- The coefficient  $\alpha > 0$  describes natural damping effects associated with an acoustic environment.

The presence of the **third time derivative is typical in Extended Irreversible Thermodynamics (EIT)** *a theory originally proposed to remove the unpleasant property of propagation of heat and velocity signals with an infinite velocity when Fourier-Navier-Stokes equations are used* . The guiding idea behind is that physical quantities such as thermodynamic fluxes typically given by constitutive relations, in EIT theory are governed by evolution equations with a suitable relaxation time  $\tau$  .

- $\tau = 0$  . This is **Parabolic like** Problem.  
Kuznetsov eq (Westervelt without the blue term) .
- $\tau > 0$  . This is **Hyperbolic like** Problem.  
Moore-Thompson-Gibson equation.

:: **Case  $\tau > 0$  first introduced by “Professor Stokes” in 1851** .



$\gamma = \nu = 1$   
 $n = 0.032$  or  $0.034, 1, 2, 3, \dots, 100$   
 $\alpha = 2$

$b = 2$

$b = 3$

$b = 5$

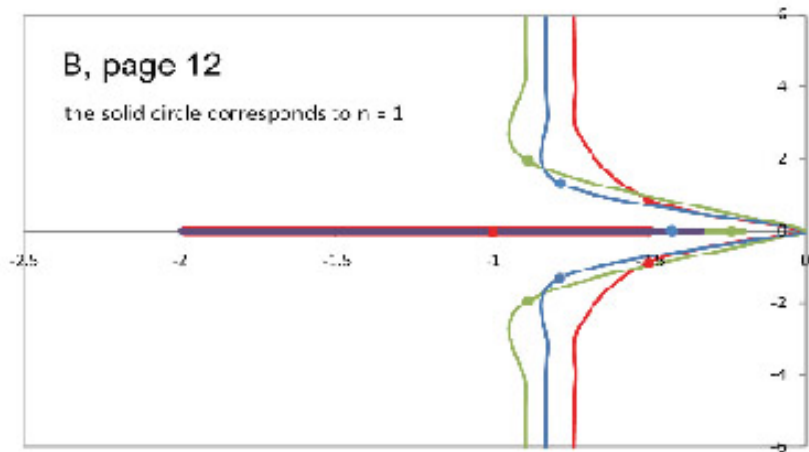
$\gamma = 1.5$

$\gamma = 1.66$

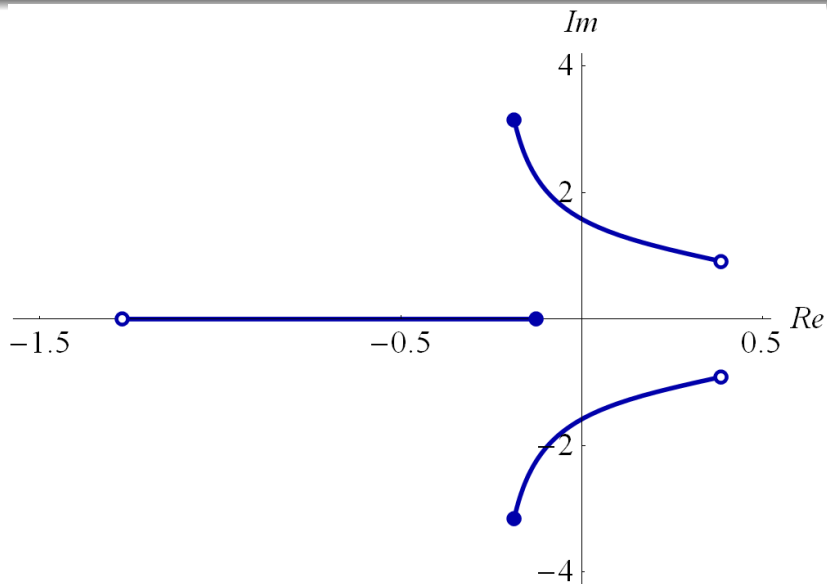
$\gamma = 0.8$

B, page 12

the solid circle corresponds to  $n = 1$







Parameter of stability  $\gamma < 0$ .  $\gamma \equiv \alpha - \frac{\tau c^2}{b}$

# Abstract formulations

$\tau = 0$ - **Westervalt/ Kuznetsov**

$$(\alpha - 4ku)u_{tt} + c^2 \mathcal{A}u + b\mathcal{A}u_t = 4ku_t^2 \quad (4)$$

$$H \equiv D(\mathcal{A}^{1/2}) \times \mathcal{H}, \quad H_1 \equiv D(\mathcal{A}) \times D(\mathcal{A}^{1/2})$$

$\tau > 0$ - **MGT**

$$\tau u_{ttt} + (\alpha - 4ku)u_{tt} + c^2 \mathcal{A}u + b\mathcal{A}u_t = 4ku_t^2 \quad (5)$$

$$H \equiv D(\mathcal{A}^{1/2}) \times D(\mathcal{A}^{1/2}) \times \mathcal{H}, \quad H_1 \equiv D(\mathcal{A}) \times D(\mathcal{A}^{1/2}) \times \mathcal{H}$$

$\mathcal{A}$  corresponds to negative Laplasjan with zero boundary conditions  
[Neuman/Dirichlet]

## Theorem ( THM 1: Linear stability)

Let  $k = 0$  .

- 1  $\tau = 0$ .  $e^{At}$  is exponentially stable iff  $\alpha > 0, b > 0$ .
- 2  $\tau > 0$ .  $e^{At}$  is exponentially stable iff  $\gamma \equiv \alpha - \frac{\tau c^2}{b} > 0$ .

## Theorem ( THM 2: Global solutions for nonlinear system. )

- 1 When  $\tau = 0$  ,  $\alpha > 0$  there exists unique **global** solution provided the initial data are small with respect to  $D(\mathcal{A}) \times D(\mathcal{A}^{1/2})$  or  $W_p^1 \times L_p$  for  $p > \max[n/2, n/4 + 1]$ .
- 2  $\tau \geq 0$ ,  $\gamma > 0$ , there exists unique **global** solution provided the initial data are **small** with respect to  $D(\mathcal{A}) \times D(\mathcal{A}^{1/2}) \times \mathcal{H}$ .

McDevitt, Marchand, Triggiani, 2012 MMAS.

Kaltenbacher, IL, 2012, *MathNach*

Kaltenbacher, IL. M. Pospiesz , 2013 *MMMAS*

Meyer, Wilke, 2013, *AMO*

**Parameter of stability:**  $\gamma \equiv \alpha - \frac{\tau c^2}{b}$

Energy functions

$$E_0(t) \equiv \|\mathcal{A}^{1/2}u(t)\|^2 + \|\mathcal{A}^{1/2}u_t(t)\|^2 + \|u_{tt}(t)\|^2$$

$$E_1(t) \equiv \|\mathcal{A}u(t)\|^2 + E_0(t)$$

$$\gamma = \alpha - \frac{\tau c^2}{b}, \text{ For } \gamma > 0 \text{ and } k=0, \quad E_i(t) \leq Ce^{-\gamma t}$$

Owing to **exponential stability with**  $\gamma > 0$  of the linearization

Proof of global wellposedness of the **nonlinear problem** for **small** initial data is based on "barrier's method" used in hyperbolic quasilinear theory. Technical tools: a string of suitable estimates developed for the linearization. .

# Stability for Critical JMGT

JMGT is not stable in the critical case  $\gamma = \alpha - \tau \frac{c^2}{b} = 0$ ,

## What if $\gamma = 0$

When  $\gamma = 0$  then  $E(t) \sim \text{const}$  for linear model.

Need to stabilize. Two options:

- **Memory** damping:  $\int_0^t g(t-s) \mathcal{A}[au(s) + bu_t(s)] ds$ .  
Filippo Del' Oro, Vittorino Pata, Xiaojun Wang, IL
- **Boundary** damping on a part of the boundary. with M. Bongarti and R. Triggiani. 2020 and M.Bongarti, I.L. J.Rodriquez 2021.

# Diffusion versus propagation.

Asymptotic Analysis when  $\tau \rightarrow 0$ .

$$U \equiv (u, u_t, u_{tt}.)$$

Convergence of  $U^\tau \rightarrow U^{\tau=0}$  when  $\tau \rightarrow 0$ ???? Where???

- Wellposedness and regularity results for the JMGT (nonlinear) require some type of **smallness of the initial data** .
- **How small ???** So far:
  - wellposedness in  $\mathbb{H}_1 \iff$  data small in  $\mathbb{H}_1$
  - wellposedness in  $\mathbb{H}_2 \iff$  data small in  $\mathbb{H}_2$ .
- In order to show convergence of the **nonlinear** semigroups in the phase space  $\mathbb{H}_1$ , one needs estimates in a **higher** topology:  $\mathbb{H}_2$ .
- Although  $\mathbb{H}_2$  is dense in  $\mathbb{H}_1$ , if wellposedness in each space is **tied to smallness in that space**, one cannot use the density.

Why do we need to be careful with the density argument in the nonlinear environment? Because of strong limit process.

## Weak versus Strong limit

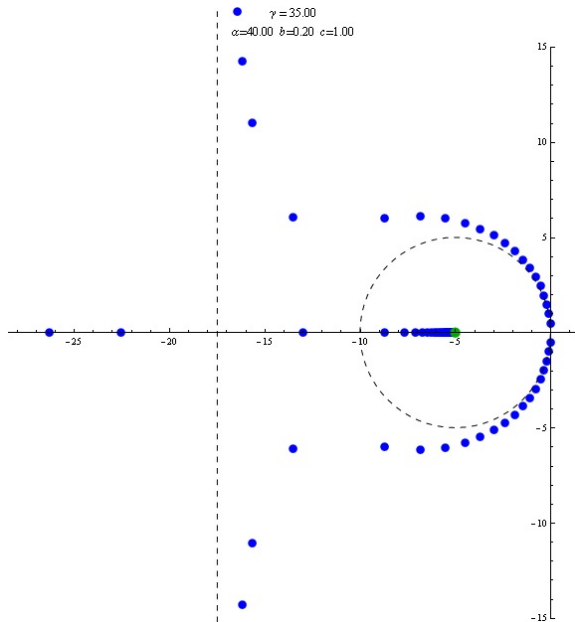
Singular generator  $A^\tau$ :

$$A^\tau = \frac{-1}{\tau} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 1 & 0 \\ c^2 \mathcal{A} & \beta \mathcal{A} & \alpha I \end{pmatrix}$$

- B. Kaltenbacher and V. Nikolic: MMAS 2020, 2019)  
**Weak** convergence established.
- **Strong Convergence - Open Problem.**
- **Why OPEN?** Singular generator and need to handle quasilinearity at various topological levels.

To settle the problem three ingredients:

- (1) control of **singularity** in  $\tau$  of  $u_{ttt}$ ,
- (2) **Tightness [reduction] of the "smallness"** to the base energy reflected in all uniform estimates of the energy. **How Small?**
- (3) **Invariance** of the "tightness" on the dynamics.



when  $\tau \rightarrow 0$  the vertical line goes to  $-\infty$ .



# Strong convergence

## Theorem (Bongarti, Charoenphon, Lasiecka, JEE, 2020)

- a) **Rate of Convergence:** Let  $T > 0$  and let  $U_0 \in \mathbb{H}_2 \sim H^2 \times H^2 \times L_2$  with  $\|U_0\|_{\mathbb{H}_0^2} \leq \rho$  sufficiently small. Then there exists a  $\tau$ -independent constant  $C_T$  such that

$$\|P(U^\tau(t, U_0)) - U^0(t, PU_0)\|_{H^2 \times H^1}^2 \leq C_T \tau \|U_0\|_{H^2 \times H^2 \times H^1}$$

uniformly (in  $t$ ) for  $t \in [0, T]$ .

- b) **Strong Convergence:** Let  $U_0 \in \mathbb{H}_1 = H^2 \times H^1 \times L_2$  with  $\|U_0\|_{\mathbb{H}_0^2} \leq \rho$  for  $\rho$  as above. Then the following strong convergence

$$\|P(U^\tau(t, U_0)) - U^0(t, PU_0)\|_{H^2 \times H^1} \rightarrow 0 \quad \text{as } \tau \rightarrow 0$$

holds uniformly on  $[0, \infty)$ .

# PART II -Critical case $\gamma \geq 0$ and boundary feedback

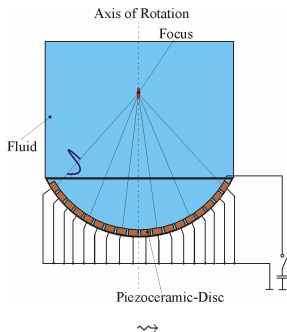
## Boundary Control Problem

$$\tau D_t^3 u + D_t^2 u - c^2 \Delta u - b \Delta(D_t u) = k D_t^2 u^2 + \gamma_1 D_t^2 |\nabla(\int_0^t u d\tau)|^2 \text{ in } (0, T) \times \Omega$$

$$\frac{\partial u}{\partial n} + u = g \quad \text{on } (0, T) \times \Gamma_0 \quad \dots \text{boundary excitation}$$

$$D_t u + \frac{\partial u}{\partial n} = 0 \quad \text{on } (0, T) \times \Gamma_1 \quad \dots \text{absorbing boundary}$$

$$\gamma = \alpha - \frac{\tau}{c^2 b} \geq 0 \text{-including the critical case}$$



optimal control of the excitation signal

**Note that this is Neuman-Neuman configuration with a part which is nondissipated.**

# Optimal Boundary Control Problem

Past results: Finite horizon and smooth OPEN LOOP controls

$$\min_{g \in G^{ad}} J(g, u) \text{ s.t.}$$

$$\tau D_t^3 u + D_t^2 u - c^2 \Delta u - b \Delta(D_t u) = k D_t^2 u^2 + \gamma D_t^2 |\nabla(\int_0^t u d\tau)|^2 \text{ in } (0, T) \times \Omega$$

$$\frac{\partial u}{\partial n} = g \quad \text{on } (0, T) \times \Gamma_0 \quad \dots \text{boundary excitation}$$

$$D_t u + c \frac{\partial u}{\partial n} = 0 \quad \text{on } (0, T) \times \hat{\Gamma}_1 \quad \dots \text{absorbing boundary}$$

$$J(g, u) = \frac{1}{2} \int_0^T \|u - u_d\|_{L_2}^2 + \frac{1}{2} \int_0^T \|g\|_G^2$$

$$\|g\|_G := \|g\|_{H^2(0,T;H^{-1/2}(\Gamma))} + \|g\|_{H^1(0,T;H^{1/2}(\Gamma))}$$

Kaltenbacher, Clason, JMAA 2009, EECT 2015

**Controls are required to have 3/2 derivatives on the boundary .**

**GOAL : Non-smooth controls AND  $T = \infty$ , and  $\tau \geq 0$ ,  $\gamma \geq 0$ .**

$$\min_{g \in L_2(L_2)} J(g, u) \text{ s.t.}$$

$$\tau D_t^3 u + D_t^2 u - c^2 \Delta u - b \Delta(D_t u) = 0$$

$$\frac{\partial u}{\partial n} = g \quad \text{on } (0, T) \times \Gamma_0 \quad \text{control}$$

$$D_t u + \frac{\partial u}{\partial n} = 0 \quad \text{on } (0, T) \times \Gamma_1 \quad \text{absorbing BC}$$

$$J(g, u) = \frac{1}{2} \int_0^\infty \|u - u_d\|_{L_2(\Omega)}^2 + \frac{1}{2} \int_0^\infty |g|_{L_2(\Gamma_0)}^2$$

**Two issues: (1) Stabilizability [ $\gamma = 0$ ]; (2) Optimal Feedback Control. [  $g = F(u, u_t, u_{tt})$  ] .**

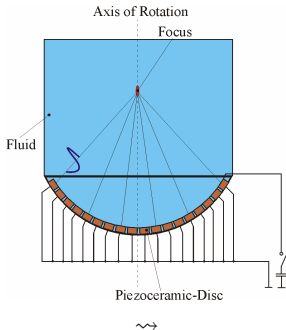
When  $T < \infty$ ,  $\tau > 0$ -hyperbolic case. -F. Bucci , I.L. *Optimization*, 2019. **Finite time horizon for a singular control problem.**

### Theorem (F. Bucci, IL , Optimization, 2019)

- $y \equiv [u, u_t, u_{tt}]$ . Given  $y_0 \in [D(A^{2*})]'$  and  $g(0) \in U$ , there exists unique optimal control  $g^* \in L_2(U)$ .
- $y^* \in C([0, T]; [D(A^{2*})]')$ ,  $g^* \in C([0, T]; U)$ .  
 $Ry^* = u^* \in C(0, T; Y)$
- The control  $g^* = F(y^*)$  where  $F$  is given via appropriate [Differential Riccati Equation] with  $P(t)$  solution to Diff. Riccati equation.

In order to extend to **infinite time horizon**,

- Analysis of boundary dynamics.
- Analysis of Riccati equations with **unbounded** coefficients. Tool: DRE/ARE with "smoothing observation".
- Eliminate  $g(0) \in U$ . Modeling of boundary control with  $L_2$  data.
- Needs uniform **boundary stability** of the linear dynamics. [Bongarti,



optimal control of the excitation signal

**Note that this is Neuman-Neuman configuration with a part which is nondissipated. For stabilization needs to. construct a vector field such that  $h \cdot \nu = 0$  on  $\Gamma_0$ . Can be done when  $\Gamma_0$  is convex. [Bending the radial vector field on  $\Gamma_0$  : D. Tataru. I.L. R. Triggiani, X.Zhang. ]**

# Stabilizability -critical case

## Theorem ( M. Bongarti, J. Rodriguez, I.L DCDS-2022)

### Assumptions

- Let  $\gamma \geq 0$ . Consider  $g(u) = -u$  in linear dynamics.
- Geometric condition of convexity on  $\Gamma_0$ .
- Initial data:  
 $U(0) = [u(0), u_t(0), u_{tt}(0)] \in H_0 \equiv H^1(\Omega) \times H^1(\Omega) \times L_2(\Omega.)$

Then,

$$\|U(t)\|_{H_0} \leq C \|U(0)\|_{H_0} e^{-\omega t}, t > 0$$

**Remark:** The value of  $C$  does not depend on  $\gamma \geq 0$ . Thus the result is **valid with  $\gamma = 0$  which is a critical case.**

Other results with the **Dirichlet** data subject to star shaped conditions and no restrictions on  $\Gamma_1$ .. M. Bongarti, I.L, R. Triggiani, *Applicable Analysis*. 2022. Do not apply to the present configuration.



# Stability- nonlinear critical case

## Theorem ( M. Bongarti, I.L DCDS-S-2022)

### Assumptions

- Let  $\gamma \geq 0$ . Consider  $g = -u$  and nonlinear dynamics.
- Geometric convexity condition of convexity on  $\Gamma_0$ .
- Initial data:

$U(0) = [u(0), u_t(0), u_{tt}(0)] \in H_1 \equiv H^{2-\epsilon}(\Omega) \times H^1(\Omega) \times L_2(\Omega)$   
subject to compatibility conditions.

$$D_t u(0) + \frac{\partial u(0)}{\partial n} = 0 \text{ on } \Gamma_1, \frac{\partial u(0)}{\partial n} + u(0) = 0 \text{ on } \Gamma_0$$

**Then**, there exists  $r > 0$  such that if  $\|U(0)\|_{H_0} \leq r$  then there exists a unique solution such  $U(t) = [u(t), u_t(t), u_{tt}(t)] \in C([0, \infty); H_1)$  such that

$$\|U(t)\|_{H_1} \leq C(\|U(0)\|_{H_1})e^{-\omega t}, t > 0$$

**Remark:** (1) The value of  $C$  does not depend on  $\gamma \geq 0$ . Thus the result is **valid with  $\gamma = 0$  which is a critical case.** (2) Note the loss of differentiability  $\epsilon > 0$ . (3) Note smallness required only in  $H_0$ .

## Lemma

**Energy Identity** *Let  $T > 0$ . If  $\Psi = (u, z, z_t)$ ,  $z = u_t + \frac{c^2}{b} u$ , is a weak solution then*

$$E_1(T) + \int_t^T D_\Psi(s) ds = E_1(t) + \int_t^T \int_\Omega f(u, u_t) z_t d\Omega ds \quad (6)$$

*holds for  $0 \leq t \leq T$ , where  $D_\Psi$  represents the interior/boundary damping and is given by*

$$D_\Psi := b \int_{\Gamma_1} \kappa_1 z_t^2 d\Gamma_1 + \int_\Omega \gamma u_{tt}^2 d\Omega ds \quad (7)$$

Reconstruction of total integral energy

$$\begin{aligned} \int_s^{T-s} E_1(t) dt &\lesssim E_1(s) + E_1(T-s) + \int_0^T D_\Psi(s) ds \\ &\quad + \int_s^{T-s} \tilde{B}(\Gamma)(t) dt + \int_Q f(u, u_t)^2 dQ + \|z\|_{L^2(s, T-s; L^2(\Omega))}^2. \end{aligned} \quad (8)$$

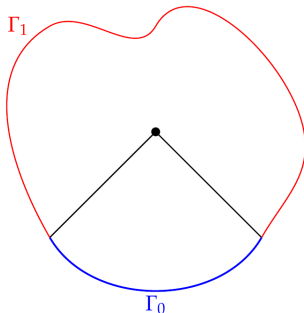
where

$$\tilde{B}(\Gamma) := \frac{1}{2} \int_\Gamma (z_t^2 - b|\nabla z|^2) (h \cdot \nu) d\Gamma + b \int_\Gamma \partial_\nu z M_h(z) d\Gamma + \int_\Gamma z \partial_\nu z d\Gamma + \int_{\Gamma_0} \kappa_0 |z|^2 d\Gamma_0 \quad (9)$$

**BAD GUY:**

$$\frac{1}{2} \int_{\Gamma_0} (z_t^2 - b|\nabla z|^2) (h \cdot \nu) d\Gamma$$

Needs to be "killed". Will be handled by a new geometric construct.



copy.png

**Figure:** Representation of the domain. Needs  $\vec{h} \cdot \vec{n} = 0$ , on  $\Gamma_0$

convexity of  $\Gamma_0$ : there exists a vector field

$h(x) = [h_1(x), \dots, h_d(x)] \in C^2(\bar{\Omega})$  such that

$$h \cdot \nu = 0 \text{ on } \Gamma_0 \quad (10)$$

with  $\nu$  the unit outward normal,  $\delta > 0$  and all vector  $v(x) \in [L^2(\Omega)]^n$ , we have

$$\int_{\Omega} J(h) |v(x)|^2 d\Omega \geq \delta \int_{\Omega} |v(x)|^2 d\Omega, \quad (11)$$

The solution to the open loop boundary problem is defined by singular integrals:

$$U(t) = e^{At} U(0) + \begin{bmatrix} 0 \\ 0 \\ b\mathcal{A}N_0g(t) \end{bmatrix} - A \int_0^t e^{A(t-s)} \begin{bmatrix} 0 \\ 0 \\ b\mathcal{A}N_0g(s) \end{bmatrix} ds - \int_0^t e^{A(t-s)} \begin{bmatrix} 0 \\ 0 \\ c^2\mathcal{A}N_0g(s) \end{bmatrix} ds$$

The model is obtained by homogenization of the boundary data and using compatibility conditions.  $\mathcal{A} = A_N$ ,  $N_0$  is. **Neumann harmonic extension**. A priori:

$g \rightarrow U$  bounded operator  $L_2(\Sigma) \rightarrow L_2(D(A^2)')$ . We will do better

- **Hidden Neumann regularity.**  $H^{2/3}(\Omega)$  [optimal D. Tataru] regularity of  $u$  and regularity of  $D_\tau u, u_t$ :

$$u \in C(H^{2/3}(\Omega)), u_t \in C(H^{-1/3}(\Omega)), u_{tt} \in C(H^{-4/3}(\Omega)) \oplus L_2(H^{-1/4})$$

Bucci-Eller, Bucci-Pandolfi, IL-Triggiani,.

## Theorem

Assume:  $g \in L_2(U) = L_2(L_2(\Gamma))$ .  $\Omega$  is "smooth" .

Then

- with  $U(0) = 0$

$$u \in C(H^{2/3}(\Omega)), u_t \in C(H^{-1/3}(\Omega)), u_{tt} \in C(H^{-4/3}(\Omega)) \oplus L_2(H^{-1/4})$$

- Trace Hidden regularity:

$$u_t|_{\Gamma} \in L_2(\Sigma), \text{ For } g = 0, U(0) \in H^{4/3} \times H^{1/3} \times H^{-2/3}$$

**Pathology:**  $u_{tt} \notin C(H^{-4/3}(\Omega))$ . It has a component in  $L_2(H^{-1/4})$

## Input-output dynamics for Optimal Control Problem.

$$\blacksquare y(t) = (u(t), u_t(t), u_{tt}(t)) = e^{At}y_0 + bc^{-2}B_0g(t) + (Lg)(t)$$

$$L(g) \equiv \int_0^t e^{A(t-s)}B_0g(s) + bc^{-2}A \int_0^t e^{A(t-s)}B_0g(s)ds$$

$$\blacksquare \text{ control operators } B_0 \in L(L_2(\Gamma_0) \rightarrow [D(\mathcal{A})]'), i = 0, 1,$$

$$\blacksquare \text{ Let } g \in L_2(0, \infty; U), U = L_2(\Gamma), Y = H^1 \times H^1 \times L_2. \text{ Minimize}$$

$$J(g) = J(g, y(g)) = \int_0^\infty \|R(y - y_d)\|_Y^2 + \int_0^\infty |g|_{L_2(\Gamma)}^2$$

Note: **Operator  $B_0$  is a boundary operator-uncloseable.** Very rough transient dynamics in  $[D(A^2)]'$

## Input-output dynamics for Optimal Control Problem with absorbing boundary conditions.

■  $y(t) = (u(t), u_t(t), u_{tt}(t)) = e^{At}y_0 + B_1g(t) + (Lg)(t)$

$$L(g) \equiv \int_0^t e^{A(t-s)}B_0g(s) + \int_0^t e^{A(t-s)}B_1g(s)ds$$

■ control operators  $B_i \in L(L_2(\Gamma_0) \rightarrow [D(\mathcal{A})]'), i = 0, 1$ , are given by

$$B_0 = \begin{pmatrix} 0 \\ 0 \\ \tau^{-1}c^2\mathcal{A}N_0 \end{pmatrix}, \quad B_1 = bc^{-2}B_0 \quad (12)$$

$$A = \begin{pmatrix} 0 & I & 0 \\ 0 & 0 & I \\ -\tau^{-1}c^2\mathcal{A} & -\tau^{-1}[b\mathcal{A} + c\mathcal{A}N_1N_1^*\mathcal{A}] & -\tau^{-1}[\alpha I + \frac{b}{c}\mathcal{A}N_1N_1^*\mathcal{A}] \end{pmatrix}$$



## Theorem (I.L. R. Triggiani, 2022 )

- 1 **Partial Regularity** For any  $y_0 \in [D(A^{*2})]'$  ,  $\exists$  unique optimal  $g^* \in C([0, \infty; U = L_2(\Gamma_0)) : Ry^* \in C[0, \infty; Y]$ .
- 2 **Riccati Equation.**  $\exists$  a selfadjoint positive operator  $P$  on  $L(Y)$  s.t.  
::

$$\blacksquare A^*PA \in L(Y), B_1^*A^*P \in L(Y; U)$$

which satisfies the nonstandard Riccati equation: for all  $y, \hat{y} \in Y$

$$((Ay, P\hat{y})_Y + (Py, A\hat{y})_Y + (Ry, R\hat{y})_Y = \\ (B_1^*R^*Ry + K_{B_0, B_1}Py, [I + B_1^*R^*RB_1]^{-1}[B_1^*R^*R\hat{y} + K_{B_0, B_1}P\hat{y}]_U$$

$$K_{B_0, B_1} \equiv B_0^* + B_1^*A^*$$

- 3 **Feedback synthesis:** The optimal control  $g^*$  satisfies  $\forall t > 0$

$$g^*(t) = -G^{-1}[B_0^* + B_1^*A^*]Py^*(t)$$

where  $G \equiv I - [B_0^* + B_1^*A^*]PB_1$  is **bounded invertible** on  $U$  .

- Improved regularity of **Riccati operator**  $P$ .
- **"Gain" operator**  $KP = [B_1^*A^* + B_0^*]P$  is bounded.  $Y \rightarrow U$ .  
Typical for analytic dynamics but not for hyperbolic.
- **Unbounded coefficients** :  $B_1^*A^*P$  with  $B_1$  boundary operator.
- **Key element:invertibility of**  $I - KPB_1$  on  $L_2(\Gamma) = U$ . This requires consideration of singular control problem with a parameter  $g(0)$ . Needs to show the injectivity.

$$[B_0^* + B_1^*A^*]PB_1v = v \rightarrow v \equiv 0$$

**Contradict:**  $v \neq 0$ . Consider  $-B_1v$  as the initial datum for the process. Using the theory after some calculations one shows that  $g^*(0, -B_1v) = v$  and  $y_0$  coincides with  $y_0 = -B_1g^*(0, -B_1v)$  This gives

$$y(t) = -e^{At}B_1g^*(0) + B_1g^*(t) + (L_0g^*)(t), t > 0$$

The optimality implies  $g^* \equiv 0$  and  $v = 0$ . This provides injectivity. Bounded invertibility uses compactness induced by hidden regularity of dynamic Neumann map.

## Conclusions:

- We solved the original HIFU problem with  $L_2$  **rough** controls.  
The observed quantities  $Ry$  are in  $C(Y)$ . Rough transient dynamics.
- Existence of solutions to **non-standard** Riccati equation
- **Feedback synthesis** -on line control - achieved.

# Open Problems

There are several open problems triggered by the work presented.

- Application of infinite horizon feedback control to **nonlinear system**. It is anticipated that local theory for small initial data should emerge. Such feedback should provide stabilizing effect on nonlinear dynamics.
- Extension of the theory to more **general observation** operator. The structure of the problem is important. It is anticipated that some smoothing effect of the observation will be necessary.
- Consider minimization of  $\|u - u_d\|_{\Gamma}$ . Hidden regularity of Neumann Dynamic map is critical.

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