

Algebra n. 3 - NOTE ALLA LEZIONE 17

Osservazione 17.10

e) Un esempio di questo tipo è presentato nell'articolo allegato a queste note.

Esempi 17.13

a) Dato un intero positivo n , sia $a \in \mathbb{Z}$. Allora, nel gruppo additivo \mathbb{Z}_n , si ha $n[a]_n = [0]_n$. Ciò prova che l'elemento $[a]_n$ non è libero su \mathbb{Z} . Ciò implica che nessun sottoinsieme non vuoto di \mathbb{Z}_n è libero su \mathbb{Z} .

b) Siano $r, s \in \mathbb{Z}$, con $s \neq 0$, e sia $\alpha = \frac{r}{s}$. Sia inoltre p un numero primo che non divide s . Allora il numero razionale $\frac{1}{p}$ non appartiene al sotto- \mathbb{Z} -modulo (sottogruppo) di \mathbb{Q} generato da α , che è l'insieme $\{k\alpha \mid k \in \mathbb{Z}\}$. Infatti, se esistesse un intero k tale che $\frac{kr}{s} = \frac{1}{p}$, allora si avrebbe $pkr = s$, contro l'ipotesi che p non divida s . Ciò prova che nessun sottoinsieme di \mathbb{Q} formato da un solo elemento genera \mathbb{Q} come \mathbb{Z} -modulo.

Siano ora $u, v \in \mathbb{Z}$, con $v \neq 0$, e sia $\beta = \frac{u}{v}$. Supponiamo che $\alpha \neq \beta$. Si ha $us\alpha - rv\beta = 0$, ove gli interi us, rv non sono entrambi nulli (infatti s e v sono non nulli, mentre uno fra r ed u è non nullo). Ciò prova che l'insieme $\{\alpha, \beta\}$ è non libero su \mathbb{Z} , e tale è ogni sottoinsieme di \mathbb{Q} formato da almeno due elementi.

Esempio 17.15

- Il sotto- \mathbb{Z} -modulo (sottogruppo) di \mathbb{Z} generato da $\{2, 3\}$ è $\{2a + 3b \mid a, b \in \mathbb{Z}\} = \mathbb{Z}$. In generale sappiamo, infatti, in base all'Esercizio 1.3, che, dati due interi coprimi m_1, m_2 , $\langle m_1, m_2 \rangle = \mathbb{Z}$. Questa – ricordiamo – è immediata conseguenza del Lemma di Bézout. Dunque $\{2, 3\}$ è un sistema di generatori, e come tale è minimale, in quanto i suoi sottoinsiemi propri $\emptyset, \{2\}, \{3\}$, generano, nell'ordine, i sottogruppi $\{0\}, 2\mathbb{Z}, 3\mathbb{Z}$. Non è, tuttavia, un insieme libero (e pertanto non è una base), in quanto $3 \cdot 2 - 2 \cdot 3 = 0$.
- L'insieme $\{2\}$ è libero, dato che 2 è un elemento regolare (non zero-divisore) dell'anello \mathbb{Z} . Ma come tale è massimale: infatti, per ogni intero m distinto da 2, si ha $m \cdot 2 - 2 \cdot m = 0$, da cui segue che l'insieme $\{2, m\}$ non è libero su \mathbb{Z} . Per il resto, abbiamo già osservato che $\{2\}$ non è un sistema di generatori per \mathbb{Z} , e dunque non è una base.
- L'insieme $\{6, 15, 10\}$ genera \mathbb{Z} , in quanto, per l'Esercizio 1.3, si ha $\langle 6, 10, 15 \rangle = \langle \text{MCD}(6, 10, 15) \rangle = \langle 1 \rangle = \mathbb{Z}$. La minimalità risulta dalle seguenti osservazioni:

$$\langle 6, 10 \rangle = 2\mathbb{Z},$$

$$\langle 6, 15 \rangle = 3\mathbb{Z},$$

$$\langle 10, 15 \rangle = 5\mathbb{Z}.$$

In realtà, è possibile costruire sistemi minimali di generatori di \mathbb{Z} di qualsiasi cardinalità finita n . Per $n=1$ si ha $\{1\}$, per ogni $n > 1$, dati n numeri primi positivi distinti p_1, \dots, p_n , si ponga,

per ogni indice i , $m_i = \prod_{\substack{j=1 \\ j \neq i}}^n p_j$. Allora $\text{MCD}(m_1, \dots, m_n) = 1$, (e dunque $\langle m_1, \dots, m_n \rangle = \mathbb{Z}$), mentre,

per ogni indice i , $\langle m_1, \dots, \hat{m}_i, \dots, m_n \rangle = \langle \text{MCD}(m_1, \dots, \hat{m}_i, \dots, m_n) \rangle = p_i \mathbb{Z} \neq \mathbb{Z}$.

Osservazione 17.18

Ogni elemento dell'ideale $([2]_6)$ è annullato da $[3]_6$.

Teorema 17.19

Illustriamo l'enunciato con un esempio semplicissimo. Consideriamo $M = \mathbb{Z}, N = 2\mathbb{Z}$. Allora $n = m = 1$, e si può prendere $a_1 = 1, b_1 = 2$, così che $T = (2)$. E, in effetti, $(\mathbb{Z}: 2\mathbb{Z}) = 2$.

Dimostrazione della Proposizione 17.14:

Per ogni $m \in M$, esistono $a_1, \dots, a_n \in A$ tali che $m = \sum_{i=1}^n a_i x_i$. Allora

$$IM + m = \sum_{i=1}^n IM + a_i x_i = \sum_{i=1}^n (I + a_i)(IM + x_i).$$

Ciò prova che l'insieme $\pi(B) = \{IM + x_1, \dots, IM + x_n\}$ è un sistema di generatori di M/IM su A/I .

Sia ora $y \in IM$. Allora esistono $c_1, \dots, c_r \in I$ e $y_1, \dots, y_r \in M$ tali che $y = \sum_{i=1}^r c_i y_i$. D'altra parte,

per ogni $i = 1, \dots, r$, esistono $d_{i1}, \dots, d_{in} \in A$ tali che $y_i = \sum_{j=1}^n d_{ij} x_j$. Ne consegue che

$$y = \sum_{i=1}^r c_i \left(\sum_{j=1}^n d_{ij} x_j \right) = \sum_{j=1}^n \left(\sum_{i=1}^r c_i d_{ij} \right) x_j,$$

ove, per ogni j , $\sum_{i=1}^r c_i d_{ij} \in I$.

Maximal Sets of Linearly Independent Vectors in a Free Module Over a Commutative Ring

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ABSTRACT

The following theorem is proved: If R is a noetherian ring, M is a free R -module of rank n , and $\{v_1, \dots, v_s\}$ is the maximal set of linearly independent vectors, then always $s = n$. An example is also given of a commutative ring R for which the above theorem is false for every $n \geq 2$.

1. INTRODUCTION

Let R be a commutative ring, and let $M \cong R^n$ be a free R -module. We will say that vectors $\{v_1, \dots, v_s\}$ are linearly independent iff the following implication holds:

$$\text{if } \sum \lambda_i v_i = 0 \text{ for } \lambda_i \in R, \text{ then } \lambda_i = 0 \text{ for } i = 1, \dots, s.$$

The set $\{v_1, \dots, v_s\}$ of linearly independent vectors will be called maximal if for every $w \in M$ the vectors v_i , $i = 1, \dots, s$, and w are not linearly independent.

Let us assume that $\{v_1, \dots, v_s\} \subset M$ is the maximal set of linearly independent vectors of M . It is natural to try to relate s to n . It is well known that always $s \leq n$. In this note we prove

THEOREM. *If R is a noetherian ring, M is a free R module of rank n , and $\{v_1, \dots, v_s\}$ is the maximal set of linearly independent vectors, then always $s = n$.*

There is also given an example of a commutative ring R for which the above theorem is false for every $n \geq 2$.

2. PROOF OF THE THEOREM

The following theorem is well known (see [2, Theorem 3.5.1, p. 124] or, for an equivalent version, [3, Proposition 12, p. 519]):

THEOREM of McCoy. *Let R be a ring. A system of n homogeneous linear equations in p unknowns,*

$$\sum_{j=1}^p \alpha_{ij} x_j = 0, \quad i = 1, \dots, n, \quad \alpha_{ij} \in R,$$

has a nontrivial solution in R if and only if either $p > n$ or $p \leq n$ and there exists a nonzero element in R annihilating all the $p \times p$ minors of the matrix $[\alpha_{ij}]$.

REMARK. It easily follows from this theorem that if $\{v_1, \dots, v_s\}$ is the set of linearly independent vectors of a free R -module $M \cong R^n$, then always $s \leq n$.

THEOREM. *Let R be a noetherian ring, and M be a free R -module of rank n . Let $\{v_1, \dots, v_s\}$ be the maximal set of linearly independent vectors of M . Then $s = n$.*

Proof. The proof is based on some lemmas.

LEMMA 1 (See [2, p. 108]). *Let P_1, \dots, P_k be prime ideals of a ring R , let I be an ideal of R , and let x be an element of R such that the ideal $\langle I, x \rangle$ is not contained in $P_1 \cup \dots \cup P_k$. Then there exists an element $y \in I$ such that $x + y \notin P_1 \cup \dots \cup P_k$.*

LEMMA 2. *Let $\mathbf{t} = (t_1, \dots, t_n) \in R^n$ be a linearly independent vector. There exists an R -automorphism $A : R^n \rightarrow R^n$ which has the form $A((x_1, \dots, x_n)) = (x_1 + \lambda_2 x_2 + \dots + \lambda_n x_n, x_2, \dots, x_n)$, $\lambda_i \in R$ for $i = 2, \dots, n$, such that $A(\mathbf{t}) = (t'_1, t_2, \dots, t_n)$ and t'_1 is not a zero divisor.*

Proof. Let $z(R)$ denote the set of all zero divisors of R . It is well known (see e.g. [1, Propositions 4.7, 7.17]) that $z(R) = P_1 \cup \dots \cup P_k$, where P_i , $i = 1, \dots, k$, are all associated primes of (0) and there exist elements $x_i \neq 0$, $i = 1, \dots, k$, $x_i \in R$, such that $P_i = \text{Ann}(x_i) = \{r \in R : rx_i = 0\}$. Let q denote the ideal $\langle t_1, \dots, t_n \rangle$. The ideal q is not contained in $z(R)$. Indeed, if $q \subset z(R)$, then there exists such i that $q \subset P_i$ (see e.g. [1, Proposition 1.11]), and it follows from the above that $x_i q = (0)$. Hence $x_i \mathbf{t} = 0$ —we get a contradiction with our assumption that \mathbf{t} is linearly independent. Let us put $\mathbf{x} = t_1$, $I = \langle t_2, \dots, t_n \rangle$ and apply Lemma 1. We see at once that there exist elements $\lambda_2, \dots, \lambda_n$ belonging to R such that $t_1 + \sum_{i=2}^n \lambda_i t_i \notin z(R)$. Now it is enough to put

$$A((x_1, \dots, x_n)) = \left(x_1 + \sum_{i=2}^n \lambda_i x_i, x_2, \dots, x_n \right).$$

This completes the proof. ■

LEMMA 3. *Let α_i , $i = 1, \dots, s$, be elements of R none of which are zero divisors. Let $\mathbf{v}_1, \dots, \mathbf{v}_s$ be vectors of M . Then the set $\{\mathbf{v}_1, \dots, \mathbf{v}_s\}$ is the maximal set of linearly independent vectors if and only if the set $\{\alpha_1 \mathbf{v}_1, \dots, \alpha_s \mathbf{v}_s\}$ is the maximal set of linearly independent vectors.*

Proof. \Rightarrow : The vectors $\alpha_i \mathbf{v}_i$, $i = 1, \dots, s$, are linearly independent. Indeed, if λ_i , $i = 1, \dots, s$, are elements of R such that

$$\sum \lambda_i (\alpha_i \mathbf{v}_i) = 0,$$

then $\lambda_i \alpha_i = 0$ for $i = 1, \dots, s$ and hence $\lambda_i = 0$ for $i = 1, \dots, s$. To prove that the set $\{\alpha_i \mathbf{v}_i$, $i = 1, \dots, s\}$ is maximal, suppose that there exists a vector \mathbf{w} such that the vectors $\alpha_i \mathbf{v}_i$, $i = 1, \dots, s$, and \mathbf{w} are linearly independent. Then the vectors \mathbf{v}_i , $i = 1, \dots, s$, and \mathbf{w} are linearly independent—to see that, it is enough to multiply the equality

$$\sum_{i=1}^s \lambda_i \alpha_i \mathbf{v}_i + \lambda \mathbf{w} = 0$$

by $\alpha = \alpha_1 \cdots \alpha_s \notin z(R)$. But the set $\{v_i, i = 1, \dots, s\}$ is the maximal set—a contradiction. This completes the proof of this implication.

\Leftarrow : Let us assume that the set $\{\alpha_i v_i, i = 1, \dots, s\}$ is the maximal set of linearly independent vectors. We will show that the set $\{v_i, i = 1, \dots, s\}$ is also maximal. By multiplying the equality

$$\sum_{i=1}^n \lambda_i v_i = \mathbf{0}$$

by α (as above) we easily obtain that the vectors $v_i, i = 1, \dots, s$, are linearly independent. Let us assume that the vectors $v_i, i = 1, \dots, s$, and w are linearly independent. Then the vectors $\alpha_i v_i, i = 1, \dots, s$, and w are linearly independent, as is obvious. This is a contradiction, which finishes the proof. ■

LEMMA 4. *Let v_1, \dots, v_s be vectors of M , and let $w_1 = v_1$, $w_i = v_i - \alpha_i v_1$ for $i = 2, \dots, s$, $\alpha_i \in R$. Then the set $\{v_1, \dots, v_s\}$ is the maximal set of linearly independent vectors if and only if the set $\{w_1, \dots, w_s, w\}$ is the maximal set of linearly independent vectors.*

Proof. It is enough to prove that for every $w \in M$ the vectors $\{v_1, \dots, v_s, w\}$ are linearly independent iff the vectors $\{w_1, \dots, w_s, w\}$ are linearly independent.

\Rightarrow : Let us assume that vectors $\{v_1, \dots, v_s, w\}$ are linearly independent. We will show that vectors $\{w_1, \dots, w_s, w\}$ are linearly independent. Indeed if

$$\sum_{i=1}^n \lambda_i w_i + \lambda w = \mathbf{0},$$

then

$$\left(\lambda_1 - \sum_{i=2}^n \alpha_i \lambda_i \right) v_1 + \sum_{i=1}^n \lambda_i v_i + \lambda w = \mathbf{0}.$$

By our assumption we have first $\lambda = 0$ and $\lambda_i = 0$ for $i \geq 2$, and so $\lambda_1 = 0$.

\Leftarrow : The proof is exactly the same as above. ■

Now we are in a position to prove our theorem. Let $\{v_1, \dots, v_s\}$ be the maximal set of linearly independent vectors of M . We know that $s \leq n$; hence it is enough to prove that $s \geq n$. Let $v_j = (a_{1j}, \dots, a_{nj})$ for $j = 1, \dots, n$. By Lemma 2 we can change coordinates of M [by a linear automorphism A

which has the form $A((x_1, \dots, x_n)) = (x_1 + \sum_{i=2}^n \lambda_i x_i, x_2, \dots, x_n)$ in such a way that $a_{11} \notin z(R)$. It is clear by Lemma 3 and Lemma 4 that we can replace the vectors v_1, \dots, v_s by the vector v_1 and the vectors $a_{11}v_i - a_{11}v_1$, $i = 2, \dots, s$. Therefore we can assume that

$$v_1 = (a_{11}, \dots, a_{n1}), \quad a_{11} \notin z(R),$$

$$v_2 = (0, a_{22}, \dots, a_{n2}),$$

⋮

$$v_s = (0, a_{2s}, \dots, a_{ns}).$$

In the same manner as above [applying an automorphism of the form $A((x_1, \dots, x_n)) = (x_1, x_2 + \sum_{i=3}^n \lambda_i x_i, x_3, \dots, x_n)$], we can also assume that $a_{22} \notin z(R)$. Applying our Lemmas 3 and 4 twice, we can write

$$v_1 = (a_{11}, \dots, a_{n1}), \quad a_{11} \notin z(R),$$

$$v_2 = (0, a_{22}, \dots, a_{n2}), \quad a_{22} \notin z(R),$$

$$v_3 = (0, 0, a_{33}, \dots, a_{n3}),$$

⋮

$$v_s = (0, 0, a_{3s}, \dots, a_{ns}).$$

We continue in this fashion to obtain

$$v_1 = (a_{11}, \dots, a_{n1}), \quad a_{11} \notin z(R),$$

$$v_2 = (0, a_{22}, \dots, a_{n2}), \quad a_{22} \notin z(R),$$

$$v_3 = (0, 0, a_{33}, \dots, a_{n3}), \quad a_{33} \notin z(R),$$

⋮

⋮

$$v_s = (0, 0, \dots, 0, a_{ss}, a_{s+1s}, \dots, a_{ns}), \quad a_{ss} \notin z(R).$$

Now it is obvious that $s \geq n$. Indeed in the opposite case let w denote the vector $(a_{1s+1}, \dots, a_{ns+1})$, where $a_{js+1} = 1$ for $j = s+1$ and $a_{js+1} = 0$ for

$j \neq s+1$. It is clear by the Theorem of McCoy that the vectors $\{v_1, \dots, v_s, w\}$ are linearly independent, because the matrix $[a_{ij}]$, $0 \leq i \leq n$, $0 \leq j \leq s+1$ has $(s+1) \times (s+1)$ minor equal to $a_{11}a_{22} \cdots a_{ss} \notin z(R)$. This is a contradiction with our assumption that the set $\{v_1, \dots, v_s\}$ is the maximal set of linearly independent vectors. Hence we must have $s \geq n$. The proof is completed. ■

COROLLARY 1. *Let R be a noetherian ring, and let M be a free R -module of rank n . Let $\{v_1, \dots, v_s\}$ be a sequence of linearly independent vectors of M . Then there exist vectors $\{w_1, \dots, w_{n-s}\}$ of M such that the vectors $\{v_1, \dots, v_s, w_1, \dots, w_{n-s}\}$ are linearly independent.*

COROLLARY 2. *Let M be as above, and N be a free submodule of M . The quotient module M/N is a torsion module if and only if $\text{rank } M = \text{rank } N$.*

3. EXAMPLE

In this section we will give an example of a commutative ring R for which our theorem is false for every $n \geq 2$.

Let $S = \mathbb{Z}[a, b, x_1, x_2, \dots]$ be the ring of polynomials in infinitely many indeterminates a, b, x_1, x_2, \dots . The set S is countable, so the set $S \times S$ is too. Hence $S \times S = \{(\phi_i, \psi_i), i = 1, 2, \dots\}$. Let I_0 denote the ideal generated by the polynomials $(a\phi_i + b\psi_i)x_i$, $i = 1, 2, \dots$. Let $I = \{\lambda \in S : \lambda a^n \in I_0 \text{ and } \lambda b^n \in I_0 \text{ for } n \gg 0\}$.

PROPOSITION 1.

- (1) $x_i \notin I$ for every $i = 1, 2, \dots$
- (2) If $\lambda \in S$ and $\lambda a, \lambda b \in I$, then $\lambda \in I$.

Proof. (1): Suppose statement (1) is false, i.e., there exists such i that $x_i \in I$. By definition of I we have $x_i a^n \in I_0$, $x_i b^n \in I_0$ for some $n \gg 0$. Hence

$$x_i a^n = \sum_{j=1}^{\infty} f_j (a\phi_j + b\psi_j) x_j,$$

where $f_j \in S$ and almost all f_j are equal to 0. There exists m such that we can consider the above equation in the ring $\mathbb{Z}[a, b, x_1, \dots, x_m] \cong$

$\mathbb{Z}[a, b][x_1, \dots, x_m]$. Let $a\phi_i + b\psi_i = c_0 + \sum_{|\alpha| > 0} c_\alpha x^\alpha$, where $c_\alpha \in \mathbb{Z}[a, b]$. Observe that $c_0 = 0$ or $c_0 \notin \mathbb{Z}$. Let $f_i = d_0 + \sum_{|\alpha| > 0} d_\alpha x^\alpha$, $d_\alpha \in \mathbb{Z}[a, b]$. We have

$$a^n x_i = c_0 d_0 x_i + \sum_{|\alpha| > 1} w_\alpha x^\alpha + \sum_{j \neq i} P_j x_j, \quad w_\alpha \in \mathbb{Z}[a, b],$$

$P_j \in \mathbb{Z}[a, b][x_1, \dots, x_m]$; therefore $a^n = c_0 d_0$ and $c_0 \notin \mathbb{Z}$ (because $c_0 \neq 0$). Therefore we have $c_0 = ra^s$, where $r, s \in \mathbb{Z}$, $r \neq 0$, and $s \geq 1$. Now, let us consider the equality

$$x_i b^n = \sum_{j=1}^{\infty} g_j (a\phi_j + b\psi_j) x_j.$$

In the same manner as above, we can see that $c_0 = r'b^{s'}$, where $r', s' \in \mathbb{Z}$, $r' \neq 0$, and $s' \geq 1$. Hence

$$c_0 = ra^s = r'b^{s'},$$

which is a contradiction.

(2): Let us assume that $\lambda a, \lambda b \in I$ for some $\lambda \in S$. There exist m, n such that $(\lambda a)a^m \in I_0$, $(\lambda b)b^n \in I_0$. Let us put $s = \max(m+1, n+1)$. We have $\lambda a^s \in I_0$, $\lambda b^s \in I_0$, and by definition of I , $\lambda \in I$. \blacksquare

PROPOSITION 2. *Let R denote the quotient ring S/I , and let $p: S \rightarrow R$ be the canonical projection. Let us denote $p(x)$ by x^\wedge . Then*

- (1) $\langle a^\wedge, b^\wedge \rangle \subseteq z(R)$;
- (2) for every $\lambda^\wedge \in R$, if $\lambda^\wedge \neq 0$ then $\lambda^\wedge a^\wedge \neq 0$ or $\lambda^\wedge b^\wedge \neq 0$.

Proof. (1): Let $x^\wedge \in \langle a^\wedge, b^\wedge \rangle$. Then there exists i such that $x^\wedge = a^\wedge \phi_i^\wedge + b^\wedge \psi_i^\wedge$ and $x^\wedge x_i^\wedge = 0$. Hence $x^\wedge \in z(R)$, because by Proposition 1, $x_i^\wedge \neq 0$.

(2): Let $\lambda^\wedge \in R$ and $\lambda^\wedge a^\wedge = 0$, $\lambda^\wedge b^\wedge = 0$. Then $\lambda a \in I$, $\lambda b \in I$, and by Proposition 1, $\lambda \in I$; hence $\lambda^\wedge = 0$. \blacksquare

COROLLARY. *Let R be a ring as above. For every $n \geq 2$ there exists a maximal set $\{v_1, \dots, v_s\}$ of linearly independent vectors of R^n for which $s < n$.*

Proof. Let us take $v_1 = (a^\wedge, b^\wedge, 0, \dots, 0)$. Let v_i denote the vector with 1 in entry $i+1$ and 0 elsewhere, for $i = 2, \dots, n-1$. Among all $(n-1) \times (n-1)$ minors of the matrix

$$\begin{bmatrix} a^\wedge & 0 & \cdots & 0 \\ b^\wedge & 0 & \cdots & 0 \\ 0 & 1 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & 1 \end{bmatrix},$$

there are minors equal to a^\wedge and b^\wedge respectively, and by the Theorem of McCoy and Proposition 2 (2), it is easily seen that the vectors v_1, \dots, v_{n-1} are linearly independent. For every $w = (w_1, \dots, w_n) \in R^n$ we have

$$\det \begin{bmatrix} a^\wedge & 0 & \cdots & 0 & w_1 \\ b^\wedge & 0 & \cdots & 0 & w_2 \\ 0 & 1 & \cdots & 0 & \vdots \\ 0 & 0 & \cdots & 0 & \vdots \\ \vdots & \vdots & & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & w_n \end{bmatrix} \in \langle a^\wedge, b^\wedge \rangle \subseteq z(R),$$

and by the Theorem of McCoy, the vectors v_1, \dots, v_{n-1}, w are not linearly independent. Hence the set $\{v_1, \dots, v_{n-1}\} \subseteq R^n$ is the maximal set of linearly independent vectors of R^n . ■

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