

## A NOTE ON COHEN-MACAULAY GRAPHS

MARGHERITA BARILE AND ANTONIO MACCHIA

ABSTRACT. We show that the edge ideal of a Cohen-Macaulay graph on  $2n$  non-isolated vertices, whose height is  $n$ , is always a set-theoretic complete intersection. This result, in particular, applies to all Cohen-Macaulay bipartite graphs.

### 1. INTRODUCTION

Let  $K$  be a field, and let  $V$  be a set of indeterminates over  $K$ . Let  $I$  be a homogeneous ideal of the polynomial ring  $R = K[V]$ . As a consequence of the graded Auslander-Buchsbaum formula, the equality  $\text{pd}(R/I) = \text{ht } I$ , where  $\text{pd}$  denotes the projective dimension and  $\text{ht}$  the height, holds if and only if the quotient ring  $R/I$  is Cohen-Macaulay. On the other hand, if  $I$  is generated by squarefree monomials, we have the following well-known inequalities:

$$(0) \quad \text{ht } I \leq \text{pd}(R/I) \leq \text{ara } I,$$

where  $\text{ara}$  denotes the arithmetical rank, i.e., the minimum number of elements of  $R$  that generate an ideal whose radical is  $I$ . Recall that an ideal is called a set-theoretic complete intersection whenever its arithmetical rank equals its height. If  $I$  is generated by squarefree monomials, in view of the above remarks, this condition implies that the quotient ring  $R/I$  is Cohen-Macaulay. Furthermore, it is well-known that, if  $R/I$  is Cohen-Macaulay, then  $I$  is unmixed.

Now suppose that the generators of  $I$  are squarefree quadratic monomials. In this case  $I$  can be associated with a graph  $G$  on the vertex set  $V(G) = V$ , whose edge set  $E(G)$  is formed by all subsets  $\{u, v\}$  of  $V$  such that  $uv \in I$ . (We will write  $uv$  for  $\{u, v\}$ .) In this setting,  $I$  is called the *edge ideal* of  $G$  and is denoted by  $I(G)$ . Its minimal monomial generators are called the *edge monomials* of  $G$ . The idea of introducing this notion is due to Villarreal [7], and dates back to 1990. Since then, edge ideals have been the object of thorough investigations concerning the connections between their graph-theoretic and their ring-theoretic properties. In [1], Crupi, Rinaldo and Terai considered the graphs  $G$  on  $2n$  non-isolated vertices for

---

2010 *Mathematics Subject Classification.* 05C25; 13A15; 14M10.

*Key words and phrases.* Arithmetical rank, unmixed graph, bipartite graph, Cohen-Macaulay graph, edge ideal.

which  $I(G)$  has height  $n$ . They gave a combinatorial criterion for the unmixedness of  $I(G)$ , which, in this case, implies (hence, is equivalent to) the Cohen-Macaulay property of the quotient ring  $R/I(G)$ . It is reported here as Theorem 3.2 and consists of three conditions, which generalize those given by Herzog and Hibi [3] for bipartite graphs. In this paper we shed a new light on this result by proving that the edge ideal of a graph fulfilling these three conditions is always a set-theoretic complete intersection. The main tool used here is a theorem due to Kimura [4], which gives an upper bound of the arithmetical rank of a monomial ideal in terms of certain divisibility relations on the set of generators.

All the results quoted or proven here are independent of the field  $K$ , a fact that emphasizes their strongly combinatorial nature.

## 2. PRELIMINARIES

Let  $I$  be an ideal of  $R$  generated by monomials, and let  $S : \alpha_1, \dots, \alpha_r$  be an ordered sequence of its minimal monomial generators. The following definition can be given for an arbitrary monomial ideal of  $R$ . In brackets we will add an equivalent formulation for the case where  $I$  is generated by squarefree monomials of degree two.

**Definition 2.1.** For all subsequences  $\alpha_{i_1}, \dots, \alpha_{i_t}$  of  $S$ , we set

$$L(\alpha_{i_1}, \dots, \alpha_{i_t}) = \{\alpha_{i_1}, \dots, \alpha_{i_t}\},$$

and we call it an *admissible symbol* of dimension  $s$  if  $\alpha_q$  does not divide  $\text{lcm}(\alpha_{i_h}, \alpha_{i_{h+1}}, \dots, \alpha_{i_t})$  for any  $h < t$  such that  $q < i_h$  (i.e., equivalently, if  $\alpha_q$  does not divide any product  $\alpha_{i_h} \alpha_{i_k}$  for any  $h, k$  such that  $h < k \leq t$  and  $q < i_h$ ).

Set  $L_0 = R$  and for all  $t = 1, \dots, r$ , let  $L_t$  be the free  $R$ -module generated by all admissible symbols of dimension  $t$ . Define the map  $d_t : L_t \rightarrow L_{t-1}$  by setting

$$\begin{aligned} d_t(L(\alpha_{i_1}, \dots, \alpha_{i_t})) = \\ \sum_{j=1}^t (-1)^{j+1} \frac{\text{lcm}(\alpha_{i_1}, \dots, \alpha_{i_t})}{\text{lcm}(\alpha_{i_1}, \dots, \widehat{\alpha_{i_j}}, \dots, \alpha_{i_t})} L(\alpha_{i_1}, \dots, \widehat{\alpha_{i_j}}, \dots, \alpha_{i_t}). \end{aligned}$$

Then one has the following

**Theorem 2.2.** ([6], p. 193) *The complex*

$$0 \rightarrow L_r \xrightarrow{d_r} L_{r-1} \xrightarrow{d_{r-1}} \dots \xrightarrow{d_1} L_0 \rightarrow 0 \quad (\star)$$

is a free resolution of  $R/I$ .

The resolution  $(\star)$  is called a *Lyubeznik resolution* of  $I$ . Note that the Lyubeznik resolution of  $I$  in general strictly depends on the order of the

sequence  $\alpha_1, \dots, \alpha_r$ : different permutations of the  $\alpha_i$  can give rise to non-isomorphic resolutions.

Also note that any subset of an admissible symbol is again admissible.

We now recall a crucial result due to Kimura:

**Theorem 2.3.** ([4], Theorem 1) *Let  $I$  be a monomial ideal of  $R$ . If  $I$  has a Lyubeznik resolution of length  $\ell$ , then  $\text{ara } I \leq \ell$ .*

We will say that an admissible symbol is *maximal* (with respect to  $S$ ) if it is of maximum dimension among the admissible symbols.

### 3. ON A SPECIAL CLASS OF COHEN-MACAULAY GRAPHS

We recall that a graph  $G$  is called Cohen-Macaulay if the quotient ring  $R/I(G)$  is Cohen-Macaulay for all fields  $K$ . It is called unmixed if so is the ideal  $I(G)$  (over every field  $K$ ).

Let  $G$  be an unmixed graph on  $2n$  vertices  $x_1, \dots, x_n, y_1, \dots, y_n$ , all non-isolated, and such that  $\text{ht } I(G) = n$ . Since  $G$  has a perfect matching (see [1], Lemma 2.1), we may assume that

- (\*)  $X = \{x_1, \dots, x_n\}$  is a minimal vertex cover of  $G$  and  $Y = \{y_1, \dots, y_n\}$  is a maximal independent set of  $G$  such that  $\{x_1y_1, \dots, x_ny_n\} \subset E(G)$ . (Since  $Y$  is an independent set, it follows that there are no edges of the form  $y_iy_j$ .)

In addition, if  $G$  is Cohen-Macaulay (see [1], Section 3), we may also assume that

- (\*\*) if  $x_iy_j \in E(G)$ , then  $i \leq j$ .

**Definition 3.1.** Let  $G$  be a graph without isolated vertices and such that all maximal independent sets have the same cardinality. If furthermore this cardinality is  $n$ , where  $2n$  is the number of vertices of  $G$ , then  $G$  is called *very well covered*.

The very well covered graphs were studied in [2]. The next result by Crupi, Rinaldo and Terai extends Theorem 3.4 of [3].

**Theorem 3.2.** ([1], Theorem 3.6) *Let  $G$  be a graph without isolated vertices. Let  $V(G) = \{x_1, \dots, x_n, y_1, \dots, y_n\}$  and suppose that  $\text{ht } I(G) = n$ . Also assume that  $G$  fulfils conditions (\*) and (\*\*). Then the following conditions are equivalent:*

- (1)  $G$  is Cohen-Macaulay,
- (2)  $G$  is unmixed,
- (3) the following conditions hold:
  - (i) if  $z_ix_j, y_jx_k \in E(G)$ , then  $z_ix_k \in E(G)$  for distinct  $i, j, k$  and for  $z_i \in \{x_i, y_i\}$ ,
  - (ii) if  $x_iy_j \in E(G)$ , then  $x_ix_j \notin E(G)$ .

**Remark 3.3.** Note that conditions (\*), (\*\*) and (3) are preserved when we remove a set of vertices of the form  $\{x_i, y_i \mid i \in S\}$  with  $S \subset \{1, \dots, n\}$ .

**Theorem 3.4.** *Let  $G$  be a very well covered graph on  $2n$  vertices  $x_1, \dots, x_n, y_1, \dots, y_n$  fulfilling conditions  $(*)$  and  $(**)$ . Then  $\text{ara } I(G) = \text{ht } I(G) = n$ , i.e.,  $I(G)$  is a set-theoretic complete intersection.*

*Proof.* Suppose that  $G$  fulfils condition (3). We show that  $I(G)$  has a Lyubeznik resolution of length at most  $n$ . In view of (0) and Theorem 2.3, this will prove our claim.

Consider the lexicographic order with respect to the following arrangement of the variables:

$$x_1 > x_2 > \dots > x_n > y_n > y_{n-1} > \dots > y_1.$$

Arrange the edge monomials of  $G$  according to the induced ordering, i.e. the ordering induced by

$$\begin{array}{cccccccccc} x_1x_2 & x_1x_3 & \cdots & x_1x_n & x_1y_n & x_1y_{n-1} & \cdots & x_1y_2 & x_1y_1 \\ x_2x_3 & \cdots & x_2x_n & x_2y_n & x_2y_{n-1} & \cdots & x_2y_2 & & \\ \ddots & & \vdots & & \vdots & & \vdots & \ddots & \\ x_{n-1}x_n & x_{n-1}y_n & x_{n-1}y_{n-1} & & & & & & \\ & & x_ny_n & & & & & & \end{array}$$

We prove that every admissible symbol with respect to this ordering has dimension at most  $n$ . We proceed by induction on  $n$ . For  $n = 1$  the claim is trivial, since, in this case,  $x_1y_1$  is the only edge monomial of  $G$ .

Now suppose that  $n > 1$  and that the claim is true for all smaller  $n$ . Let  $u$  be an admissible symbol of maximum dimension and suppose that there are exactly  $r$  monomials in  $u$  containing the variable  $x_1$ , i.e., appearing in the first row of the above table, and precisely  $x_1z_{i_1}, x_1z_{i_2}, \dots, x_1z_{i_r}$ , where  $i_1 < \dots < i_r$  and  $z_{i_h} \in \{x_{i_h}, y_{i_h}\}$  for every  $h$ . If  $r \leq 1$ , then the remaining monomials in  $u$  form an admissible symbol for a graph of the same type of  $G$  on the vertex set  $\{x_2, \dots, x_n, y_2, \dots, y_n\}$  (see Remark 3.3). By induction, this symbol has dimension at most  $n - 1$ , hence  $u$  has dimension at most  $n$ . Thus suppose that  $r \geq 2$ . Then every monomial of  $u$  lying outside the first row cannot contain any of the variables  $z_{i_1}, \dots, z_{i_{r-1}}$ , because, for all  $h = 1, \dots, r-1$ , the monomial  $x_1z_{i_h}$  precedes  $x_1z_{i_r}$  and divides  $x_1z_{i_r}z_{i_h}$ .

Let  $1 \leq h \leq r-1$ . We want to prove that  $u$  does not contain any monomial divisible by  $\{x_{i_h}, y_{i_h}\} \setminus \{z_{i_h}\}$ .

First suppose that  $z_{i_h} = x_{i_h}$ . Then  $i_h \neq 1$ . Moreover,  $x_{i_h}y_{i_h} \notin u$ , because, as we have just remarked, no monomial of  $u$  outside the first row is divisible by  $x_{i_h}$ . We prove that  $u$  does not contain any monomial divisible by  $y_{i_h}$ . Suppose, by contradiction, that  $x_ky_{i_h} \in u$  for some  $k$ . Then  $k \neq i_h$  and, on the other hand,  $k \leq i_h$  by virtue of condition  $(**)$ . Moreover, since  $x_1x_{i_h} \in E(G)$ , from condition (3) (ii) it follows that  $k \neq 1$ . Finally, since  $x_1x_{i_h}, x_ky_{i_h} \in E(G)$ , and  $1, i_h, k$  are pairwise distinct, condition (3) (i) implies that  $x_1x_k \in E(G)$ . Thus  $u$  is not admissible, because the monomial  $x_1x_k$  precedes both the monomials  $x_1x_{i_h}$  and  $x_ky_{i_h}$  (since  $1 < k < i_h$ ) and divides their product. This provides a contradiction.

Now suppose that  $z_{i_h} = y_{i_h}$ . Since  $h \leq r-1$ ,  $x_1 y_{i_h}$  cannot be the last monomial of the first row of the above table. Hence  $i_h \neq 1$ . We prove that  $u$  does not contain any monomial divisible by  $x_{i_h}$ . Suppose, by contradiction, that  $x_{i_h} z_k \in u$  for some  $k$ . Once again, since  $x_{i_h} y_{i_h} \notin u$ , we have  $k \neq i_h$ . Now,  $z_k = y_1$  would imply  $i_h = 1$  by condition (\*\*), against our assumption. On the other hand, we also have  $z_k \neq x_1$ , because  $x_1 y_{i_h} \in E(G)$ , which, in view of condition (3) (ii), implies that  $x_{i_h} x_1 \notin E(G)$ . This proves that  $k \neq 1$ . Moreover, since  $x_1 y_{i_h}, x_{i_h} z_k \in E(G)$ , from condition (3) (i) it follows that  $x_1 z_k \in E(G)$ . We observe that the monomial  $x_1 z_k$  precedes  $x_1 y_{i_h}$  in the above order: if  $z_k = x_k$ , this is clear; if  $z_k = y_k$ , then  $x_{i_h} y_k \in E(G)$ , so that  $i_h < k$  by virtue of condition (\*\*). Hence  $x_1 y_k$  precedes  $x_1 y_{i_h}$ . Further, since  $i_h > 1$ , the monomial  $x_1 z_k$  also precedes  $x_{i_h} z_k$ . But  $x_1 z_k$  divides  $x_1 y_{i_h} x_{i_h} z_k$ , which implies that  $u$  is not admissible and provides a contradiction. We have thus proven that the monomials in  $u$  following  $x_1 z_{i_1}, x_1 z_{i_2}, \dots, x_1 z_{i_r}$ , i.e., those lying in the rows below the first one, form an admissible symbol  $v$  for a graph of the same type of  $G$  on the vertex set

$$\{x_2, \dots, x_n, y_2, \dots, y_n\} \setminus \{x_{i_1}, \dots, x_{i_{r-1}}, y_{i_1}, \dots, y_{i_{r-1}}\}.$$

By induction,  $v$  has length at most  $n-1-(r-1)=n-r$ . Therefore  $u$  has length at most  $r+n-r=n$ , so that the claim follows.  $\square$

**Corollary 3.5.** *Let  $G$  be a very well covered graph. Then the following conditions are equivalent:*

- (1)  $R/I(G)$  is Cohen-Macaulay,
- (2)  $I(G)$  is a set-theoretic complete intersection.

*In particular, this equivalence is true if  $G$  is a bipartite graph.*

**Remark 3.6.** The arithmetical rank of the edge ideals of some Cohen-Macaulay bipartite graphs had already been computed by Kummini [5].

#### REFERENCES

- [1] M. CRUPI, G. RINALDO, N. TERAI, *Cohen-Macaulay edge ideal whose height is half of the number of vertices*, Nagoya Math. J. **201** (2011), 117-131.
- [2] O. FAVARON, *Very well covered graphs*, Discrete Math. **42** (1982), 177-187.
- [3] J. HERZOG, T. HIBI, *Distributive lattices, bipartite graphs and Alexander duality*, J. Alg. Comb. **22** (2005), 3, 289-302.
- [4] K. KIMURA, *Lyubeznik resolutions and the arithmetical rank of monomial ideals*. Proc. Amer. Math. Soc. **137** (2009), 11, 3627-3635.
- [5] M. KUMMINI, *Regularity, depth and arithmetic rank of bipartite edge ideals*, J. Alg. Comb. **30** (2009), 4, 429-445.
- [6] G. LYUBEZNIK, *A new explicit finite free resolution of ideals generated by monomials in an R-sequence*. J. Pure Appl. Algebra **51** (1988), 1-2, 193-195.
- [7] R.H. VILLARREAL, *Cohen-Macaulay graphs*, Manuscripta Math. **66** (1990), 2, 277-293.
- [8] R.H. VILLARREAL, *Unmixed bipartite graphs*, Rev. Col. Mat. **41** (2007), 2, 393-395.

#### ACKNOWLEDGMENTS

The authors thank the referee for a careful reading of the paper.

DIPARTIMENTO DI MATEMATICA, UNIVERSITÀ DEGLI STUDI DI BARI “ALDO MORO”,  
VIA ORABONA 4, 70125 BARI, ITALY  
*E-mail address:* `margherita.barile@uniba.it`

FACHBEREICH MATHEMATIK UND INFORMATIK, PHILIPPS-UNIVERSITÄT MARBURG, HANS-  
MEERWEIN-STRASSE 6, 35032 MARBURG, GERMANY  
*E-mail address:* `macchia.antonello@gmail.com`